

UNITED STATES DISTRICT COURT  
DISTRICT OF MASSACHUSETTS

MARCUS DUMOND, et al.,

Plaintiffs,

v.

MASSACHUSETTS FINANCIAL SERVICES  
COMPANY AND MFS FUND DISTRIBUTORS,  
INC., et al.,

Defendants

No. 04-11458-GAO

**DEFENDANTS' CORPORATE DISCLOSURE STATEMENT**

Pursuant to Federal Rule of Civil Procedure 7.1 and Local Rule 7.3, defendants Massachusetts Financial Services Company ("MFS") and MFS Fund Distributors, Inc. ("MFD") state that over 10% of MFS's stock is owned by its parent corporation, Sun Life of Canada (U.S.) Financial Services Holdings, Inc. Sun Life of Canada (U.S.) Financial Services Holdings, Inc. is a wholly-owned indirect subsidiary of Sun Life Financial, Inc. Sun Life Financial, Inc. does not have a parent corporation and no publicly held company owns 10% or more of its stock. The defendants further state that MFD is a wholly-owned subsidiary of MFS.

Respectfully Submitted,

MASSACHUSETTS FINANCIAL  
SERVICES COMPANY (d/b/a/ "MFS  
INVESTMENT MANAGEMENT")  
MFS FUND DISTRIBUTORS, INC.

By their attorneys,

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Dated: November 8, 2004

**CERTIFICATE OF SERVICE**

I, Jonathan A. Shapiro, hereby certify that I caused a copy of the within documents to be served by either electronic mail or overnight mail to:

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